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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Chen et al.

Application No. 10/020,708

Filed: December 14, 2001

For: ADAPTIVE WINDOW-SIZE SELECTION IN
TRANSFORM CODING

Examiner: Not yet assigned

Date: May 1, 2002

Art Unit: 2171

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as
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Mail in an envelope addressed to: COMMISSIONER FOR
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Kyle B. Rinehart
Attorney for Applicant

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. § 1.97(b)(3)

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Listed on the accompanying form PTO-1449 and enclosed herewith are several English-language and/or non-English-language documents. The non-English language documents (portions of Zwicker et al., Das Ohr als Nachrichtenempfänger and Zwicker, Psychoakustik) relate to human auditory models. Applicants respectfully request that these documents be listed as references cited on the issued patent.

Applicants filed this Information Disclosure Statement ("IDS") before the mailing date of a first Office action on the merits. As a result, no fee should be required to file this IDS. However, if the Patent Office determines that a fee is required for Applicants to file this Information Disclosure Statement, please charge any such fees, or credit overpayment, to Deposit Account No. 02-4550. A **duplicate** copy of this Information Disclosure Statement is enclosed.

Respectfully submitted,

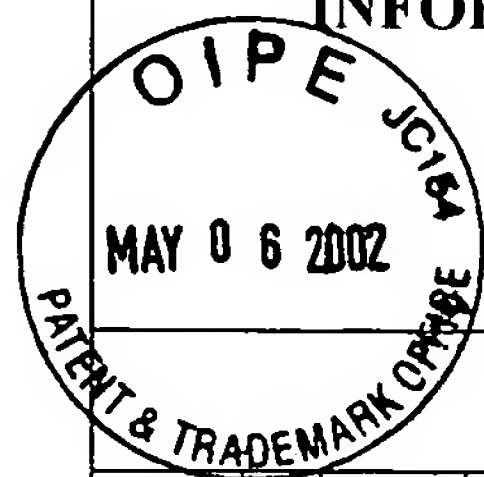
KLARQUIST SPARKMAN, LLP

By


Kyle B. Rinehart
Registration No. 47,027

One World Trade Center, Suite 1600
121 S.W. Salmon Street
Portland, Oregon 97204
Telephone: (503) 226-7391
Facsimile: (503) 228-9446

cc: Client (180528.1)
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BY APPLICANT

Docket: 3382-61343

App: 10/020,708

Applicant: Chen et al.

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U.S. PATENT DOCUMENTS

Init.*	Number	Date	Name	Class	Sub	Filed
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OTHER DOCUMENTS

		Gibson et al., <u>Digital Compression for Multimedia</u> , Title Page, Contents, "Chapter 7: Frequency Domain Coding," Morgan Kaufman Publishers, Inc., pp. iii, v-xi, and 227-262 (1998).
		H.S. Malvar, <u>Signal Processing with Lapped Transforms</u> , Artech House, Norwood, MA, pp. iv, vii-xi, 175-218, and 353-57 (1992).
		H.S. Malvar, "Lapped Transforms for Efficient Transform/Subband Coding," <i>IEEE Transactions on Acoustics, Speech and Signal Processing</i> , Volume 38, No. 6, pp. 969-78 (1990).
		Seymour Schlien, "The Modulated Lapped Transform, Its Time-Varying Forms, and Its Application to Audio Coding Standards," <i>IEEE Transactions on Speech and Audio Processing</i> , Vol. 5, No. 4, pp. 359-66 (July 1997).
		de Queiroz et al., "Time-Varying Lapped Transforms and Wavelet Packets," <i>IEEE Transactions on Signal Processing</i> , Vol. 41, pp. 3293-3305 (1993).
		Herley et al., "Tilings of the Time-Frequency Plane: Construction of Arbitrary Orthogonal Bases and Fast Tiling Algorithms," <i>IEEE Transactions on Signal Processing</i> , Vol. 41, No. 12, pp. 3341-59 (1993).

EXAMINER:

DATE

*Examiner: Initial if considered, whether or not in conformance with MPEP 609; draw line through cite if not in conformance and not considered. Send copy.

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			Srinivasan et al., "High-Quality Audio Compression Using an Adaptive Wavelet Packet Decomposition and Psychoacoustic Modeling," <i>IEEE Transactions on Signal Processing</i> , Vol. 46, No. 4, pp. 1085-93 (April 1998).
			Caetano et al., "Rate Control Strategy for Embedded Wavelet Video Coders," <i>Electronics Letters</i> , pp. 1815-17 (October 14, 1999).
			Ribas Corbera et al., "Rate Control in DCT Video Coding for Low-Delay Communications," <i>IEEE Transactions on Circuits and Systems for Video Technology</i> , Vol. 9, No. 1, pp. 172-85 (February 1999).
			Zwicker et al., <u>Das Ohr als Nachrichtenempfänger</u> , Title Page, Table of Contents, "I: Schallschwingungen," Index, Hirzel-Verlag, Stuttgart, pp. III, IX-XI, 1-26, and 231-32 (1967).
			Terhardt, "Calculating Virtual Pitch," <i>Hearing Research</i> , 1:155-182 (1979).
			Lufti, "Additivity of Simultaneous Masking," <i>Journal of Acoustic Society of America</i> , 73:262-267 (1983).
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		ITU, Recommendation ITU-R BS 1387, Method for Objective Measurements of Perceived Audio Quality, 89 pp. (1998).	MAY 0 8 2002 Technology Center 2100 RECEIVED
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	A.M. Kondo, <u>Digital Speech: Coding for Low Bit Rate Communications Systems</u> , "Chapter 3.3: Linear Predictive Modeling of Speech Signals" and "Chapter 4: LPC Parameter Quantisation Using LSFs," John Wiley & Sons, pp. 42-53 and 79-97 (1994).		
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